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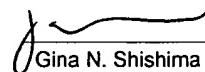
April 30, 2004

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37 C.F.R 1.8

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April 30, 2004

Date

  
Gina N. Shishima

**MS DD**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

RE: *U.S. Patent Application No. 10/751,606 entitled "OPTIMIZATION OF CANCER TREATMENT WITH IRINOTECAN" – Mark J. Ratain et al.*  
*Our reference: ARCD:389US*  
*Client reference: UCHI 1014*

Sir:

Enclosed for filing in the above-referenced patent application is an Information Disclosure Statement, Form PTO-1449, and references A1-A8, B1-B5 and C1-C217.

No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/ARCD:389US.

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Respectfully submitted,

  
Gina N. Shishima  
Reg. No. 45,104

GNS/kmv  
Encl.: as noted

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Mark J. Ratain *et al.*

Serial No.: 10/751,606

Filed: January 5, 2004

For: OPTIMIZATION OF CANCER  
TREATMENT WITH IRINOTECAN

Group Art Unit: 1645

Examiner: Unknown

Atty. Dkt. No.: ARCD:389US

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Gina N. Shishima

INFORMATION DISCLOSURE STATEMENT

MS DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R. §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/ARCD:389US.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



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Date: April 30, 2004

Form PTO-1449 (modified)

Atty. Docket No.

Serial No.

ARCD:389US

10/751,606

Applicant

Mark J. Ratain *et al.*

Filing Date:

January 5, 2004

Group:

1645

U.S. Patent Documents  
*See Page 1*Foreign Patent Documents  
*See Page 1*Other Art  
*See Page 1***U.S. Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	5,786,344	7/28/98	Ratain <i>et al.</i>	514	100	4/17/95
	A2	6,066,645	5/23/00	Hausheer <i>et al.</i>	514	283	1/6/99
	A3	6,287,834	9/11/01	Belanger <i>et al.</i>	435	193	2/08/99
	A4	6,319,678	11/20/01	Trubetskoy and Shaw	435	15	6/25/99
	A5	6,395,481	5/28/02	Di Renzo <i>et al.</i>	435	6	1/16/99
	A6	6,407,117	6/18/02	Bouscarel <i>et al.</i>	514	283	3/23/00
	A7	6,472,157	10/29/02	De Renzo and Ratain	435	6	2/01/02
	A8	6,479,236	11/12/02	Penny and Galvin	435	6	5/05/99

**Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
✓	B1	EP 0919244	6/2/99	Europe			Abstract
✓	B2	WO 00/06776	2/10/00	PCT			
✓	B3	WO 94/22846	10/94	PCT			
✓	B4	WO 95/08986	4/6/95	PCT			
✓	B5	WO 96/01127	1/18/96	PCT			

**Other Art (Including Author, Title, Date Pertinent Pages, Etc.)**

Exam. Init.	Ref. Des.	Citation
	C1 ✓	Abraham <i>et al.</i> , "Non-glucocorticoid steroid analogues (21-aminosteroids) sensitize multidrug resistant cells to vinblastine," <i>Cancer Chemother. Pharmacol.</i> , 32(2):116-122, 1993.
	C2 ✓	Akiyama <i>et al.</i> , "Most drugs that reverse multidrug resistance also inhibit photoaffinity labeling of p-glycoprotein by a vinblastine analog," <i>Mol. Pharmacol.</i> , 33(2):144-147, 1988.

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Form PTO-1449 (modified)		Atty. Docket No. ARCD:389US	Serial No. 10/751,606
List of Patents and Publications for Applicant's  INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		Applicant Mark J. Ratain <i>et al.</i>	
		Filing Date: January 5, 2004	Group: 1645
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>	

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Exam. Init.	Ref. Des.	Citation
	C3 ✓	Ando <i>et al.</i> , "Polymorphisms of UDP-glycuronosyltransferase gene and irinotecan toxicity: a pharmacogenetic analysis," <i>Cancer Res.</i> , 60(24):6921-6926, 2000.
	C4 ✓	Ansher <i>et al.</i> , "Chemoprotective effects of two dithiolthiones and of butylhydroxyanisole against carbon tetrachloride and acetaminophen toxicity," <i>Hepatology</i> , 3(6):932-935, 1983.
	C5 ✓	Araki <i>et al.</i> , "Relationship between development of diarrhea and the concentration of SN-38, an active metabolite of CPT-11, in the intestine and blood plasma of athymic mice following intraperitoneal administration of CPT-11," <i>Jpn J. Cancer Res.</i> , 84:697-702, 1993.
	C6 ✓	Ariyoshi <i>et al.</i> , "Mouse-human chimeric antibody MH171 against the multidrug transporter P-glycoprotein," <i>Jpn. J. Cancer Res.</i> , 83(5):515-521, 1992.
	C7 ✓	Atsumi <i>et al.</i> , "Identification of the Metabolites of Irinotecan, a New Derivative of Camptothecin, in Rat Bile and its Biliary Excretion," <i>Xenobiotica</i> , 21(9):1159-1169, 1991.
	C8 ✓	Barbier <i>et al.</i> , "3'-azido-3'-deoxythymidine (AZT) is glucuronidated by human UDP-glucuronosyltransferase 3B7 (UGT2B7)," <i>Drug Metab. Dispos.</i> , 28:497-502, 2000.
	C9 ✓	Barker <i>et al.</i> , "Determination of plasma concentrations of epirubicin and its metabolites by high-performance liquid chromatography during a 96-h infusion in cancer chemotherapy," <i>J Chromatogr B Biomed Appl.</i> , 681:323-329, 1996.
	C10 ✓	Bear, "Drugs transported by-P-glycoprotein inhibit a 40pS outwardly rectifying chloride channel," <i>Biochem. Biophys. Res. Commun.</i> , 200(1):513-521, 1994.
	C11 ✓	Bell <i>et al.</i> , "Roles of peptidyl-prolyl cis-trans isomerase and calcineurin in the mechanisms of antimalarial action of cyclosporin A, FK506, and rapamycin," <i>Biochem. Pharmacol.</i> , 48(3):495-503, 1994.
	C12 ✓	Bertrand <i>et al.</i> , "Sequential Administration of Camptothecin and Etoposide Circumvents the Antagonistic Cytotoxicity of Simultaneous Drug Administration in Slowly Growing Human Colon Carcinoma HT-29 Cells," <i>Eur. J. Cancer</i> , 28A(4-5):743-748, 1992.
	C13 ✓	Beutler <i>et al.</i> , "Racial variability in the UDP-glucuronosyltransferase 1 (UGT1A1) promoter: a balanced polymorphism for regulation of bilirubin metabolism," <i>PNAS USA</i> , 95(14):8170-8174, 1998.
	C14 ✓	Bhasker <i>et al.</i> , "Genetic polymorphism of UDP-glucuronosyltransferase 2B7 (UGT2B7) at amino acid 268: ethnic diversity of alleles and potential clinical significance," <i>Pharmacogenetics</i> , 10(8):679-685, 2000.

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Exam. Init.	Ref. Des.	Citation
	C15	Bible and Kaufmann, "Cytotoxic synergy between flavopiridol (NSSC 649890, L86-8275) and various antineoplastic agents: the importance of sequence of administration," <i>Cancer Res.</i> , 57:3375-3380, 1997.
	C16	Bible and Kaufmann, "Flavopiridol: a cytotoxic flavone that induces cell death in noncycling A549 human lung carcinoma cells," <i>Cancer Res.</i> , 56:4856-4861, 1996.
	C17	Bock <i>et al.</i> , In: Conjugation reactions in biotransformation, Elsevier, North Holland Biomedical Press, p. 357-364, 1978.
	C18	Boesch and Loor, "Extent and persistence of P-glycoprotein inhibition in multidrug-resistant P388 cells after exposure to resistance-modifying agents," <i>Anticancer Drugs</i> , 5(2):229-238, 1994.
	C19	Boesch <i>et al.</i> , "Restoration of daunomycin retention in multidrug-resistant P388 cells by submicromolar concentrations of SDZ PSC 833, a nonimmunosuppressive cyclosporin derivative," <i>Exp. Cell. Res.</i> , 196(1):26-32, 1991.
	C20	Boiteux-Antoine <i>et al.</i> , "Comparative induction of drug-metabolizing enzymes by hypolipidaemic compounds," <i>Gen-Pharmacol.</i> , 20(4):407-412, 1989.
	C21	Bosma <i>et al.</i> , "Sequence of exons and the flanking regions of human bilirubin-UDP-glucuronosyltransferase gene complex and identification of a genetic mutation in a patient with Crigler-Najjar Syndrome, Type I," <i>Hepatology</i> , 15:941-947, 1992.
	C22	Bosma <i>et al.</i> , "The genetic basis of the reduced expression of bilirubin UDP-Glucuronosyltransferase 1 in Gilbert's Syndrome," <i>N. Eng. J. Med.</i> , 333:1171-1175, 1995.
	C23	Burchell and Coughtrie, "UDP-glucuronosyltransferases," <i>Pharmac. Ther.</i> , 43:261-289, 1989.
	C24	Burchell <i>et al.</i> , "The UDP Glucuronosyltransferase gene suprefamily: suggested nomenclature based on evolutionary divergence, <i>DNA cell biol.</i> , 10:487-494, 1991.
	C25	Burger <i>et al.</i> , "Pharmacokinetic interaction between rifampin and zidovudine," <i>Antimicrobial Agents and Chemotherapy</i> , 37(7):1426-1431, 1993.
	C26	Campain <i>et al.</i> , "Characterization of an unusual mutant of human melanoma cells resistant to anticancer drugs that inhibit topoisomerase II," <i>J. Cell Physiol.</i> , 155(2):414-425, 1993.
	C27	Carlson <i>et al.</i> , "Flavopiridol induces G <sup>1</sup> arrest with inhibition of cyclin-dependent kinase (CDK) 2 and CDK4 in human breast carcinoma cells," <i>Cancer Res.</i> , 56:2973-2978, 1996.

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	C28	Carrier <i>et al.</i> , "Isolation and characterization of the human UGT2B7 gene," <i>Biochem and Biophys. Res. Commun.</i> , 272:616-621, 2000.
	C29	Cascorbi <i>et al.</i> , "Frequency of single nucleotide polymorphisms in the p-glycoprotein drug transporter MDR1 gene in white subjects," <i>Clinic. Pharmacol Ther.</i> , 69:169-174, 2001.
	C30	Charuk <i>et al.</i> , "Interaction of Rat Kidney P-Glycoprotein with a Urinary Component and Various Drugs Including Cyclosporin A," <i>Am. J. Physiol.</i> , 266:F66-F75, 1994.
	C31	Chen <i>et al.</i> , "Fluorescence polarization in homogeneous nucleic acid analysis," <i>Genome Res.</i> , 9:492-498, 1999.
	C32	Chen <i>et al.</i> , "Calcium phosphate-mediated gene transfer: A highly efficient transfection system for stably transforming cells with plasmid DNA," <i>Biotechniques</i> , 6:632-638, 1988.
	C33	Cheng <i>et al.</i> , "Glucuronidation of catechol estrogens by expressed human UDP-glucuronosyltransferases (UGTs) 1A1, 1A3, and 2B7," <i>Toxicological Sciences</i> , 45:52-57, 1998.
	C34	Chien <i>et al.</i> , "In vitro evaluation of flavopiridol, a novel cell cycle inhibitor, in bladder cancer," <i>Cancer Chemother Pharmacol</i> , 44:81-87, 1999.
	C35	Chin <i>et al.</i> , "Reduced mRNA levels for multidrug-resistance genes in cAMP-dependent protein kinase mutant cell lines," <i>J. Cell Physiol.</i> , 152(1):87-94, 1992.
	C36	Clarke and Burchell, "The Uridine Diphosphate glucuronosyltransferase multigene family: function and regulation," <i>Handbook of experimental pharmacology</i> , 112:3-43, 1994.
	C37	Coffman <i>et al.</i> , "Cloning and stable expression of a cDNA encoding a rat liver UDP-Glucuronosyltransferase (UDP_Glucuronosyltransferase 1.1) that catalyzes the glucuronidation of opioids and bilirubin," <i>Mol. Pharmacol.</i> , 47:1101-1105, 1995.
	C38	Coffman <i>et al.</i> , "Human UGT2B7 catalyzes morphine glucuronidation," <i>Drug Metab Dispos.</i> , 25:1-4, 1997.
	C39	Coffman <i>et al.</i> , "The glucuronidation of opioids, other xenobiotics, and androgens by human UGT2B7Y(268) and UGT2B7H(268)," <i>Drug Metab Dispos.</i> , 26:73-77, 1998.
	C40	Cordon-Cardo <i>et al.</i> , "Expression of the multidrug resistant gene product (P-glycoprotein) in human normal and tumor tissues," <i>J. Histochem. Cytochem.</i> , 38:1277-1287, 1990.
	C41	Czech <i>et al.</i> , "Antitumoral activity of flavone L86-8275," <i>Int J Oncol</i> , 6:31-66, 1995.

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### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

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	C42 ✓	Davies and Schnell, "Oltipraz-induced amelioration of acetaminophen hepatotoxicity in hamsters," <i>Toxicology and Applied Pharmacology</i> , 109:29-40, 1991.
	C43 ✓	de Formi <i>et al.</i> , "Phase I and pharmacokinetic study of the camptothecin derivative irinotecan administered on a weekly schedule in cancer patients," <i>Cancer Res.</i> , 54:4347-4354, 1994.
	C44 ✓	De Lannoy <i>et al.</i> , "Cyclosporin and Quinidine Inhibition of Renal Digoxin Excretion: Evidence for Luminal Secretion of Digoxin," <i>Am. J. Physiol.</i> , 263:F613-F622, 1992.
	C45 ✓	De Morais <i>et al.</i> , "Biotransformation and Toxicity of Acetaminophen in Congenic RHA Rats with or without a Hereditary Deficiency in Bilirubin UDP-Glucuronosyltransferase," <i>Toxicology and Applied Pharmacology</i> , 117:81-87, 1992.
	C46 ✓	Declives <i>et al.</i> , "A new polymorphism (N21D) in the exon 2 of the human MDR1 gene encoding the P-glycoprotein," <i>Human Mutation</i> , 15: 486, 2000.
	C47 ✓	Dhainaut <i>et al.</i> , "New Triazine Derivatives as Potent Modulators of Multidrug Resistance," <i>J. Med. Chem.</i> , 35:2481-2496, 1992.
	C48 ✓	Di Carlo <i>et al.</i> , "Flavonoids: old and new aspects of a class of natural therapeutic drugs," <i>Life Sci.</i> , 65:337-353, 1999.
	C49 ✓	Di Rienzo <i>et al.</i> , "Two new alleles in the promoter of the bilirubin UDP-glucuronosyl transferase 1 (UGT1A1) gene", <i>American Society for Clinical Pharmacology and Therapeutics, Ninety Ninth Annual Meeting</i> , New Orleans, Abstract OII-B-3, page 207, 1998.
	C50 ✓	Diasio <i>et al.</i> , "Clinical pharmacology of 5-fluorouracil," <i>Clin Pharmacokinet</i> , 16:215-237, 1989.
	C51 ✓	Dobbs and Twelves, "What is the effect of adjusting epirubicin doses for body surface area?" <i>British Journal of Cancer</i> , 78(5):662-666, 1998.
	C52 ✓	Doige <i>et al.</i> , "ATPase activity of partially purified P-glycoprotein from multidrug-resistant chinese hamster ovary cells," <i>Biochim. Biophys. Acta.</i> , 1109(2):149-160, 1992.
	C53 ✓	Drees <i>et al.</i> , "Flavopiridol (86-8275): selective antitumor activity in vitro and activity in vivo for prostate carcinoma cells," <i>Clin Cancer Res.</i> , 3:273-279, 1997.
	C54 ✓	Egner <i>et al.</i> , "Regulation of Phase 2 Enzyme Induction by Oltipraz and other Dithiolethiones," <i>Carcinogenesis</i> , 15(2):177-181, 1994.

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### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

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	C55	Ewesuedo and Ratain, "Topoisomerase I inhibitors," <i>Oncologist</i> , 2(6):359-364, 1997.
	C56	Evans and Relling, "Automated high-performance liquid chromatographic assay for the determination of 7-ethoxycoumarin and umbelliferone," <i>J. Chromatogr.</i> , 578:141-145, 1992.
	C57	Ford <i>et al.</i> , "Cellular and biochemical characterization of thioxanthenes for reversal of multidrug resistance in human and murine cell lines," <i>Cancer Res.</i> , 50(6):1748-1756, 1990.
	C58	Fournel <i>et al.</i> , "Structure-dependent induction of bilirubin glucuronidation and lauric acid 12-hydroxylation by arylcarboxylic acids chemically related to clofibrate," <i>Biochimica et Biophysica Acta</i> , 842:202-213, 1985.
	C59	Foxwell <i>et al.</i> , "Identification of the multidrug resistance-related P-glycoprotein as a cyclosporine binding protein," <i>Mol. Pharmacol.</i> , 36:543-546, 1989.
	C60	Friche <i>et. al.</i> , "In vitro circumvention of anthracycline-resistance in ehrlich ascites tumour by anthracycline analogues" <i>Biochem. Pharmacol.</i> , 39:1721-1726, 1990.
	C61	GenBank Accession Number AF297093.
	C62	GenBank Accession Number NM_001074.
	C63	Gestl <i>et al.</i> , "Expression of UGT2B7, a UDP-glucuronosyltransferase implicated in the metabolism of 4-hydroxyestrone and all-trans retinoic acid, in normal human breast parenchyma and in invasive and in Situ breast cancers," <i>American Journal of Pathology</i> , 160(4):1467-1479, 2002.
	C64	Gram <i>et al.</i> , "Clinical relevance of genetic polymorphisms in drug oxidation," <i>Clinical Relevance of Genetic Polymorphisms in Drug Oxidation</i> , 1992.
	C65	Green <i>et al.</i> , "Expressed human UGT1.4 protein catalyzes the formation of quaternary ammonium-linked glucuronides," <i>Drug Metab. Dispos.</i> , 23:299-302, 1995.
	C66	Gruol <i>et al.</i> , "Reversal of multidrug resistance by RU 486 <sup>1</sup> " <i>Cancer Res.</i> , 54(12):3088-3091, 1994.
	C67	Guillamette <i>et al.</i> , "Genetic polymorphisms in uridine diphospho-glucuronosyltransferase 1A1 and association with breast cancer among African Americans," <i>Cancer Res.</i> , 60:950-956, 2000.
	C68	Gunn, "Hereditary Acholuric Jaundice," <i>J. Hered.</i> , 29:137-139, 1938.

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	C69	Gupta <i>et al.</i> , "Metabolic Fate of Irinotecan in humans: Correlation of Glucuronidation with Diarrhea," <i>Cancer Res.</i> , 54:3723-3725, 1994.
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List of Patents and Publications for Applicant's  INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		Applicant Mark J. Ratain <i>et al.</i>	
		Filing Date: January 5, 2004	Group: 1645
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>	

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		Filing Date: January 5, 2004	Group: 1645
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>	

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U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>	

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U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>	

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U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>	

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		Filing Date: January 5, 2004	Group: 1645
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>	

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U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>	

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		Filing Date: January 5, 2004	Group: 1645
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>	

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U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>	

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